

OLIVER SCHAEFER ET AL.

Group Art Unit:

Unknown

Examiner:

Unknown

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Serial No.:

10/595,036/

Filed:

January 9, 2006

For:

NOVEL ORGANOSILICON COMPOUNDS

AND A METHOD FOR THEIR PRODUCTION

Attorney Docket No.: WAS 0756 PUSA

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

4/18/2006

William G. Conger
Name of Person Signing

Signature

Date of Deposit

Atty Dkt No. WAS 0756 PUSA

S/N: 10/595,036

Accompanying the Information Disclosure Statement is the statement of Dr. Klaus T. Mieskes describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

OLIVER SCHAEFER ET AL.

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: April 18, 2006

BROOKS KUSHMAN P.C.

1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400 Fax: 248-358-3351 In re application of

SCHAEFER ET AL.

Serial Number: 10/595,036 :

Group Art Unit:

Filed:

For: NOVEL ORGANOSILICON COMPOUNDS AND A METHOD FOR THEIR

PRODUCTION

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Klaus T. Mieskes, associated with the preparation and prosecution of the above-identified application, residing at Nettelbeckstraße 40, 81929 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, in an Office Action of the German Patent and Trademark Office and in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 38 32 592 A1, cited in a German Office Action, an English Derwent Abstract is enclosed.

For DE 38 04 765 A1, cited in a German Office Action, an English Derwent Abstract is enclosed.

For DE 28 12 402 A1, cited in a German Office Action, an English Derwent Abstract is enclosed.

US 3,816,550, cited in a German Office Action, is enclosed.

US 4,676,919 A, cited in the International Search Report as well as in the application, is enclosed.

US 4,772,408 A, cited in the International Search Report, is enclosed.

US 3,869,340 A, cited in the International Search Report, is enclosed.

US 4,333,843 A, cited in the International Search Report as well as in the application, is enclosed.

EP 0 111 013 A, cited in the International Search Report, is already in English language and is enclosed.

For JP 63-23976, cited in the application, a corresponding Patent Abstracts of Japan, which is in English language, is enclosed

WO 02/055587 A1, cited in the application, is already in English language and is enclosed.

US 4,367,154, cited in the application, is enclosed.

US 2,768,193, cited in the application, is enclosed.

US 3,019,248, cited in the application, is enclosed.

GALLAGHER, SKIP: "Synthesis and Characterization of Phosphonate-Containing Polysiloxanes", Journal of Polymer Science Part A, vol. 41, 2003, pages 48-59, cited in the application, is already in English language and is enclosed.

Signed this / day of February, 2006.

Dr. Klaus T. Mieskes

PTO/SB/08A (10-96) [MODIFIED]

Approved for use through 10/31/99. OMB 0651-0031
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Substitute for Fo	orn 49A/PTO			Complete if Known		
	RADEAN			Application Number	10/595,036	
	MATION D			Filing Date	January 9, 2006	
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				Group Art Unit	Unknown	
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Sheet	1	of	2	Attorney Docket Number	WAS 0756 PUSA	

U.S. PATENT DOCUMENTS						
Examiner Initials	miner Cite U.S. PATENT DOCUMENT Kind Code²		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		4,367,154		Jernigan	1/4/1983	
		2,768,193		Gilbert	10/23/1956	
		3,019,248		Fekete	1/30/1962	
		3,816,550		Young et al.	6/11/1974	
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		3,869,340		Kotzsch et al.	3/4/1975	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.1	Offic	Foreign Patent Docur	ment Kind Code ^s (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		WO	02/055587		Queen Mary & West.	7/18/2002		
		DE	38 32 592 A1		BASF AG	3/29/1990	•	
		DE	38 04 765 A1	1	BASF AG	8/24/1989		
		DE	28 12 402		Dow Corning	3/29/1979		
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		JР	63-23976		Toray Silicone	2/1/1988		
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96) [reproduced]
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for F	Form 1449B/PTO			Complete if Known			
•			01.001155	Application Number	10/595,036		
	_		CLOSURE	Filing Date	January 9, 2006		
STAPIE	MENT BY	AP	PLICANI	First Named Inventor	OLIVER SCHAEFER ET AL		
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sheet	2	of	2	Attorney Docket Number	WAS 0756 PUSA		
MADEN	OTHER P	RIO	R ART NON P	ATENT LITERATUR	E DOCUMENTS		
Examiner Initials *	Cite No.1		m (book, magazine, journal, s	CAPITAL LETTERS), title of the are erial, symposium, catalog, etc.), dat slisher, city and/or country where put	e, page(s), volume-issue number(s),	T ²	
		En to	stract AN 1978-566887	A [31] corresponding			
		Pa	tent Abstracts of	E Japan corresponding	g to JP 63-23976		
		English Derwent Abstract AN 1990-100248 [14] correspondito DE 38 32 592 A1					
		English Derwent Abstract AN 1989-242947 [34] corresponding to DE 38 047 65 A1					
		Ph	Gallagher, Skip, "Synthesis and Characterization of Phosphonate Containing Polysiloxanes," J. POLYM. SCI. PART A., vol. 41, 48-59 (2003)				
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Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.